



## TRADER UPDATE

**Date:** October 29, 2012

**Audience:** NYSE Arca Traders and Tech Vendors

**Subject:** Hurricane Sandy Planning

As Hurricane Sandy continues on its path across the Northeast, NYSE Euronext is making contingency plans in case of irreparable damage to our New York City facilities.

We stress that, as of now, there has been no damage to the NYSE Euronext NYC headquarters that would impair trading floor operations. The following plan is precautionary only given the unpredictability of the storm's impact at this time.

In the event that the markets are prepared to open on Wednesday Oct 31 but the NYSE headquarters and trading floor are damaged and unavailable for trading, the NYSE Euronext US markets would do as follows:

NYSE Amex Options would continue to operate electronically.

Based on feedback from the industry and after dialogue with regulators, NYSE would not pursue the Print as N scenario, as previously announced.

Instead, NYSE Arca would open and trade Tape A names as usual. NYSE and NYSE MKT would remain closed.

NYSE Arca would also run opening and closing auctions in Tape A names as well as NYSE Arca listed securities. All Tape A names trading on NYSE Arca would quote and print as P to the consolidated tape as they do today.

NYSE Arca should be considered the primary market in this scenario.

PLEASE BE ADVISED THAT NYSE EURONEXT IS ACTIVELY PURSUING RELIEF FROM CERTAIN SHORT SALE RESTRICTION RULES AND CIRCUIT BREAKER RULES FOR NYSE AND NYSE MKT DURING THIS PERIOD. SUCH RELIEF IS NOT GUARANTEED, HOWEVER, AND FIRMS AND OTHER MARKETS SHOULD ALSO BE PREPARED TO ACKNOWLEDGE NYSE ARCA CIRCUIT BREAKERS AND SHORT SALE RESTRICTIONS ON TAPE A SECURITIES UNLESS OTHERWISE ADVISED BY NYSE EURONEXT.

NYSE ARCA WOULD ALSO INVOKE REGULATORY TRADING HALTS ON ALL TAPE A SECURITIES DURING THIS PERIOD AS WELL.

NYSE GTC orders will not be available on NYSE Arca in this scenario.

In conjunction with the other exchanges, NYSE Arca will offer firms the ability to test the opening and closing auctions on NYSE Arca tomorrow from 8am ET until 12 noon ET.

NYSE Arca will begin accepting orders at 8am ET. Opening auctions will run at 9:30 am ET and closing auctions will run at 12 noon ET.

Firms should log onto their production Arca sessions for this testing and the following symbols will be supported.

CBO NYSE  
CBX NYSE  
IGZ ARCA  
ZBZX BZX  
ZTEST BZX  
ZVZZT NASDAQ  
ZWZZT NASDAQ  
ZXZZT NASDAQ

Contact Info:

Production Connectivity  
E-Mail – [Connectivity@nyx.com](mailto:Connectivity@nyx.com)  
Phone – (888) 689-7739, Option 1

Dave Weiss  
E-Mail – [dave@nyx.com](mailto:dave@nyx.com)  
Phone – (212) 656-6974

Relationship Management  
E-Mail – [RMTeam@nyx.com](mailto:RMTeam@nyx.com)

NYSE Arca Trading Operations  
E-Mail – [trading@nyx.com](mailto:trading@nyx.com)  
Phone – (888) 513-9873

Paul Adcock  
E-Mail – [padcock@nyx.com](mailto:padcock@nyx.com)  
Phone – (312) 442-7046