

Dear Valued Customers,

In light of the recent news concerning alleged improprieties at PFG, AMP wants to provide our customers more specific details about our operations.

# **Financials:**

AMP is one of the few FCM's today that does not engage in any business other than the processing of trades for Futures and Commodity Customers. We are not dependent on interest income or alternative investments for our profitability – we process electronic futures trades for our customers- it's our business.

#### What we do not do?

- No proprietary trading
- No Exchange Floor Trading Positions Reported to Clearing Firm only every 15 minutes (Only electronic exchange trades by AMP customers - P/L to the tick)
- No exposure to mortgage backed securities
- No exposure to European debt
- No activity in OTC or Off-Exchange Trading (such as Forex)
- No Naked Short Options Selling (Unlimited Risk) by AMP Customers

#### What we do?

• AMP reports its financial position on a daily and monthly basis to NFA and CFTC.

Mitigate Market Risk/Exposure:

• AMP risk controls are marked to the tick and tradable markets are limited to the major liquid markets - no exotic/illiquid instruments.



# We take regulation seriously – Our History:

Zero Regulatory Actions against AMP (IB or FCM, since 2005 inception) by the neither NFA nor CFTC.

- AMP Futures: http://www.nfa.futures.org/basicnet/Details.aspx?entityid=YMMH7B93DXI%3d&rn=N
- AMP Trading: http://www.nfa.futures.org/basicnet/Details.aspx?entityid=EPGnwkgRmus%3d&rn=N
- AMP Clearing: http://www.nfa.futures.org/basicnet/Details.aspx?entityid=k17Ne6qLDo8%3d&rn=N

### Size:

- AMP is the entire 24th Floor of the LaSalle/Wacker building.
- AMP 24 hour Help Desk is fully staffed 15 agents during US trading hours and 4 agents during non-US trading hours.
- AMP 24 hour Trade Desk is fully staffed 4 agents during US trading hours and 2 agents during non-US trading hours.
- AMP 24 hour Risk Department is fully staffed 6 agents during US trading hours and 2 agents during non-US trading hours.

Should you have any direct questions not answered, please contact me directly via email: dan@ampclearing.com

If you would like to get more information about our services visit our website: www.ampclearing.com

Sincerely,

Dan Culp President AMP Global Clearing